

# King's Soul Food Restaurant Thomas A. Riley

## Emergency Cease and Desist Order

DATE: April 29, 2002 RESPONDENTS: King's Soul Food Restaurant and Thomas A. Riley of Topeka, Kansas ALLEGATIONS: On March 15, 2002, Thomas A. Riley placed an advertisement in *The Topeka Capital Journal* seeking investors in King's Soul Food Restaurant. Riley then sent a "Restaurant Start Up Investment Plan" to a prospective investor who responded to the ad. The investment plan indicated a potential 30% return for investments ranging between \$15,000 and \$65,000, and the investor was not required to participate in the management of the business. The investment opportunity was not registered as a security with the Office of the Securities Commissioner, and the respondents were not registered as broker-dealers or agents. ORDER: The respondents were ordered to cease and desist from selling unregistered securities and from transacting business in the State of Kansas as broker-dealers or agents without registration.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.